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The following topics were studied in detail during the report period:

- 1) Combined volume and surface scattering in a channel, using a modal formulation.
- 2) Two-way formulation to account for backscattering in a channel.
- 3) Data analysis to determine vertical and horizontal correlation lengths of the random index-of-refraction fluctuations in a channel.
- 4) The effect of random fluctuations on the two-frequency coherence function in a shallow channel.
- 5) Approximate eigenfunctions and eigenvalues for linear sound-speed profiles.

These topics are discussed in the following sections.

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1. Combined volume and surface scattering in a channel using a modal formulation.

In the next month, a paper will be submitted to JASA on this subject. The abstract of the paper is:

Combined volume and surface scattering in a channel using a modal formulation

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In previous work, a modal approach was used to study random volume scattering in a shallow channel (M.J. Beran and S. Frankenthal, J. Acoust. Soc. Am., 91, 3203-3211, 1992). Here, we shall show how the effects of a rough channel surface may be included in the formulation. To include the effects of the rough surface, the modes are taken to be dependent on the range and transverse coordinates in addition to the depth coordinate. The propagation is studied in terms of the ensemble-averaged two-point coherence function and the equation governing the coherence function is derived. The general method for volume scattering is given in detail in the above reference. Here it is shown how the surface scattering terms may be treated in this approach.

The two-point coherence function is expressed as the sum over both self-modal and cross-modal coherence functions. The difference between the self-modal coherence functions and the cross-modal coherence functions is discussed. A numerical example is given using typical shallow-water parameters.

A draft of the paper is now available on request. The paper is being edited for its final form.

2. Two-way formulation to account for backscattering in a channel.

In the analysis performed on the combined volume and surface scattering, it was found that the addition of the surface scattering caused the conservation of energy to be violated. It was found that the violation occurred because backscattering was not properly taken into account. A proper formulation was developed based on an analysis given in the book by C.A. Boyles, (Acoustic Waveguides, Applications to Ocean Science, Interscience, John Wiley and sons, 1984). However, in the paper mentioned in Sec. 1, the backscattering was taken to be small. In this work we are developing a coherence formulation in which the backscattering may be more significant. At the same time we are attempting to generalize the method to account for large transverse scattering.

3. Data analysis to determine vertical and horizontal correlation lengths of the random index-of-refraction fluctuations in a channel.

In order to properly determine the volume scattering in a channel, it is necessary to know both the characteristic horizontal and vertical correlation lengths associated with the index-of-refraction fluctuations. Unfortunately, we could not find suitable experimental data in the literature. The situation is quite different from the deep ocean where there is considerable information available on internal waves.

Mr. Thomas Barnard, the Ph.D student, has, however, obtained SWELLEX-2 vertical-array thermistor data with the help of scientists at NRL. From this data he has obtained characteristic vertical scales for day-time and night-time observations. He also found results that are sometimes dependent upon the averaging times. In addition, Mr. Barnard expects to obtain data from Dr. Paulson of Oregon State University which will allow him to estimate characteristic horizontal scales. Data processing is still progressing and we hope to be able to present results at the May ASA meeting in Washington, DC.

4. The effect of random fluctuations on the two-frequency coherence function in a shallow channel.

The two-frequency coherence function may be used to calculate scattering of transient acoustic fields. A paper on this subject is in the final stages of preparation but calculations are still required to give numerical results. The calculations should be completed in about six months. A preliminary abstract of the work to be included in the paper is given here:

**The effect of random fluctuations on the two-frequency coherence function in a shallow channel**

**Abstract**

We consider here the effect of random sound-speed fluctuations on the two-frequency coherence function for acoustic propagation in a shallow channel. The approach we use is a direct generalization of the modal approach used in a previous paper on volume scattering with a single frequency<sup>1</sup>. The two-frequency coherence function allows us to study the effect of volume fluctuations on the propagation of transient acoustic signals in a shallow channel.

The governing two-frequency coherence equations are derived. It is shown that, in the modal formulation we use for the spatial decomposition, the two frequencies behave as two extra modal parameters. Conditions are given under which the equations are valid. From the equations, characteristic length scales are found which give the propagation distance over which the two-frequency coherence functions decay significantly from their initial values. A numerical example, using typical shallow water parameters, is presented. Finally it is shown how to use the two-frequency results to estimate the distortion of transient acoustic signals propagating in a shallow channel.

5. Approximate eigenfunctions and eigenvalues for linear sound-speed profiles.

In the paper in Sec. 1, numerical calculations were included for a constant sound-speed profile. The same calculations should be done for a linear sound-speed profile. Although a general solution for the vertical mode wave equation has been found for linear sound-speed profile, the properties of the Bessel functions of pure imaginary order in the solution are poorly understood. We require accurate approximations to match the

boundary conditions. Mr. Barnard has been studying this problem and obtained suitable approximations. In the course of his analysis he has also shown how to obtain approximate solutions for a piecewise-linear profile. In the coming months Mr. Barnard's approximate solutions will be used to calculate the scattering coefficients used in the coherence equations.